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Stochastic laser cooling enabled by manybody effects

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Abstract

A novel laser cooling mechanism based on many-body effects is presented. The method can be applied to the cooling of a large class of atoms and molecules at a higher density than commonly excepted by existing methods. The cooling mechanism relies on the collective encounter of particles and light. Stochastic events between the particles and photons, as well as a collective effect, give rise to energy transfer between these media. Such a mechanism relies on multiple light–matter encounters, therefore requiring a sufficient particle density, $\rho \sim 10^{14} \text{ cm}^{-3}$. This is an advantage for experiments where a high phase space density is required. A second tuning laser can be added, increasing the applicability to many types of atoms and molecules. This tuning laser changes the inter-particle potential by inducing an AC Stark effect. As a result, the required trapping density can be reduced to $\rho \sim 10^6 \text{ cm}^{-3}$. Simulations of phase space distributions were performed, comparing different particle densities, trap potentials and light field intensity profiles. The modelling shows efficient cooling rates up to 10^2 K s^{-1} for a dense ensemble of ⁸⁷Rb atoms, and cooling rates of up to $6 \cdot 10^2 \text{ K s}^{-1}$ when adding an additional tuning source.

Keywords: laser, cooling, high-density gas

(Some figures may appear in colour only in the online journal)

1. Introduction

Atom-photon interactions have been a major research topic in physics. The origins can be traced to Kepler, who as early as 1619, suggested that light may have a mechanical effect, when observing that a comet's tail is always pointing away from the Sun [1]. Later on, Maxwell suggested a phenomena known as 'light pressure', pressure exerted on a surface when exposed to electromagnetic radiation [2]. The topic was revolutionized by two papers (1909 and 1916), when Einstein, following Planck's law of black body radiation, showed that light energy quanta must carry a momentum set by $p = \frac{h}{\lambda}$ [3-4].

Many experimental realizations exploiting photon momentum have been performed [5]. In the present study, we exploit this momentum transfer to cool an ensemble of colliding atoms. The frequency shift during the collision is a source of momentum exchange between the particles and light.

The first suggestion for cooling atoms via photon-atom interactions was proposed by H E D Scovil in 1959 [6]. Scovil pioneered a quantum thermodynamic approach to laser cooling, thus introducing the first quantum refrigerator. Further advancement in laser cooling was not recorded until more than a decade later. In 1975, simultaneously and independently of Scovil's work, two groups, Wineland and Dehmelt, [7] as well as Hansch and Schawlow [8], introduced new theories for laser cooling. Wineland and Dehmelt's work treats the cooling of ions in an ion trap, and Hansch and Schawlow's theory concentrates on neutral atoms. The initial theory proposed by Wineland and Dehmelt, known as Doppler cooling, involves energy transfer from atomic media to photons depending on the relative velocity of the atoms to the light source. The Doppler cooling theory predicts a minimum temperature known as the 'Doppler limit', which for sodium and rubidium atoms amounts to $240 \,\mu\text{K}$ and $146 \,\mu\text{K}$, respectively [9, 10]. When experimental studies based on the theory took place the cooling was unexpectedly efficient and led to temperatures below the Doppler limit, raising theoretical questions concerning the underlying mechanism.

Significant effort aimed at extending or replacing the Doppler cooling theory has been made. Diverse theories were developed such as Raman cooling, cavity mediated cooling and Sisyphus cooling [11–21]. All the proposed theories describe different mechanisms of energy transfer between atomic and photon media, and take advantage of the global character of electromagnetic waves, enabling the transport of energy away from the atomic medium.

The Sisyphus cooling theory was proposed in 1989 by Cohen Tannoudji and Jean Dalibard [15]. The theory involves two interfering laser beams creating a standing wave with a polarization gradient oscillating spatially between three polarizations σ^+ , π and σ^- . The periodic potential imposed on the atoms affects the ground and excited states differently, resulting in a varying energy gap alternating spatially. Such spatial dependence of the energy gap allows an average energy transfer from the moving atoms via repetitive excitations. In conjunction with the theoretical work, experimental research achieved nano Kelvin temperatures, setting the stage for the materialization of Bose–Einstein condensates by additional evaporative cooling [22–24].

In the present cooling theory, we change the focus from a single atom picture to a collective many-body approach in a dense particle medium. A new cooling mechanism is proposed converting kinetic to optical energy, based on a stochastic modulation of the emission frequency due to the relative motion of the particles.

The present cooling theory is based on high particle density when collisions occur. It differs from the well-established laser cooling mechanism appropriate for a sparse medium. The high density is advantageous for experiments where a large phase space density is desired. It has been claimed [25] that inter-atomic collisions at high densities will lead to trap loss or heating. Nevertheless, the collective effects of light trapped in the particle medium have been reported in an ultracold medium [26–28], experimentally demonstrating that dense cold samples are feasible. The trapped light generates an internal pressure, which leads to the expansion of the atomic cloud [29–31]. More recent experiments [32] have observed these collective effects in very large magnetic-optical traps. In cooling, high-density effects should be our ally and not our foe.

Theoretical approaches to modelling these phenomena are based on continuum hydrodynamical theories [29, 33]. We will adopt such a hydrodynamic description for our cooling theory in addition to absorption and emission properties, which depend on the atomic properties and density.

The theory is demonstrated on cooling rubidium 87 atoms. Rb has been the workhorse of ultracold atomic physics, due to favourable properties such as its convenient vapour pressure at room temperature, large absorption cross section and large scattering length for S-wave scattering. The cooling scheme can also be applied to a variety of atomic and molecular systems where an approximate closed cycle transition can be found and the particle density is dominated by two-body collisions. To expand the possible cooling



Figure 1. ⁸⁷Rb atoms are confined by an MOT (green); a laser source, detuned slightly from resonance (red), is applied to the particle ensemble (represented in grey). The photons are confined to the trap due to repeated absorption. They diffuse through the atomic media until they escape the trap, blue detuned with respect to the incident laser (shown in blue and purple arrows). Inter-particle collisions modify the absorption cross section and locally equilibrate the kinetic energy.

candidates we suggest adding an additional tuning laser which modifies the collision parameters. This laser also enables us to reduce the inter-particle density. Sympathetic cooling of a mixture of species can also become applicable.

The stochastic cooling theory is introduced at the beginning of section 2, followed by an explanation of the modelling technique, and a derivation of the different model variables in section 3. Section 4 presents the results of the stochastic laser cooling theory. An additional generalized scheme, enhanced stochastic cooling theory, which allows the efficient cooling of non-alkali atoms and molecules, is presented in section 5. Following the theoretical method is a discussion and conclusions.

2. Stochastic cooling theory

2.1. Laser cooling scheme

The prerequisite of the scheme is a trapping potential that is able to confine and isolate a dense ensemble of gas phase atoms or molecules. The cooling is based on applying beams of light to the interior of the trap detuned below the resonance frequency. This light diffuses out, trapped by the absorption and emission process. Such events also shift the light's frequency to blue on average. Eventually, the light is emitted from the dilute exterior regions of the atomic cloud, figure 1. If such a scenario can be maintained, it is obvious that on average the energy consumed to generate the blue shift in the radiation frequency will be extracted from the kinetic energy of the atoms, leading to cooling.

The energy flow from a particle medium to an electromagnetic field will only occur if a single photon goes through multiple excitation/dexcitation cycles. This imposes a



⁸⁷Rb **Figure 2.** A photon of laser frequency, ω_L , is absorbed by an atom. In a random process, the frequency of the emitted photon, ω , is shifted with respect to ω_L . For a positive energy shift (top part) the probability of absorbing increases and conversely for a negative energy shift (lower part).

restriction on the absorption cross section and the particle density. The particles should be stratified in the trap such that the high density is in the centre. Simultaneously, the particles undergo diffusional dynamics as a result of repetitive interparticle collisions and interaction with light. Overall, under these conditions, two different mediums-particle and photons-are captured in the trap interacting with each other by energy transfer and influencing their respective motion. We will refer to the photonic medium as the 'light medium' in the following. The energy shift of the light is a stochastic manybody effect incorporating multiple absorption emission cycles. It combines the asymmetry in absorption and emission line-shapes with the spectral dependence of the light trapping in the medium; the mechanism is explained in detail in the following.

The photons captured in the trap undergo repetitive excitation cycles; for each cycle there is the probability of an energy shift to the photon on account of the particle's kinetic energy. Due to the asymmetry of the absorption probability function (incident light is detuned below the atomic line) a 'blue' shifted photon will have a higher probability of being reabsorbed, figures 4, 2. However, the 'red' detuned photon probability of being reabsorbed is decreased, i.e. photons which transfer energy to the particle medium will diffuse faster through the particle medium until reaching low particle densities on the edge of the trap and escaping. This causes an effective cut-off for energy transfer from the light medium to the particles. Alternatively, photons detuned to 'blue', which reduce the energy of the particles, undergo more excitation cycles, allowing further energy transfer from the particle medium to the light, figure 2. The collective effect of dual dependence induces a net energy transfer between the two media and efficient cooling.

Another characteristic of dual dependence involves pressure broadening [34]: when the density of an atomic ensemble increases, a red shift to the atom transition frequency occurs. This red shift is universal and is caused by the larger polarizability of the excited state, which enhances the long-range attractive van der Waals force. The particle





20

15

wavenumbers).

Figure 3. ⁸⁷Rb energy levels, lowest singlet ground state $X^{1}\Sigma_{g}$ and $a^{3}\Sigma_{g}$ and one of the excited states 0_{u}^{-} . The excitations occur in the long- range part of the potential $r \approx 10^3$ Å (energy in units of

density profile can therefore induce a spatially varying optimal absorption frequency. For a particle density which decreases radially, the resonance frequency increases accordingly. As a result, a photon starting in the centre when shifted to the blue and propagating outward will be reabsorbed due to the new resonance conditions. This process will be repeated until a blue photon escapes the trap at the low density outer region.

An important issue is how to stratify the light in the opaque particle medium. A few options can be envisioned. When the trap forces are large, causing a large gradient in density, far red detuned incident light will only be absorbed at the centre. Once the light frequency is shifted to blue it becomes trapped by the particles. The only escape route is from the dilute outer boundary. Another option is to use electromagnetic induced transparency EIT to inject the light into the interior.

2.2. Energy transfer mechanism

For a homogeneous atomic gas with a typical $S \rightarrow P$ transition, the excited inter-atomic van der Waals potential scales as C_3/r^3 compared to C_6/r^6 for the ground state potential, see figure 3 [35]. As a result, the absorption frequency varies with the relative distance. Once a photon is absorbed, the atom spends an average lifetime $(27.7 \cdot 10^{-8} \text{ s})$ in an excited state. At this stage, the two neighbouring atoms will undergo random relative motion, until their decay by photo-emission. This random motion, accompanied by Doppler phenomena, collisions, random electromagnetic fields and the natural linewidth of the excited state, cause the energy shift of the emitted photon. Summarizing the phenomena: for sufficient density, the relative motion causes a change in the van der Waals potential energy at the expense of the emitted photon energy. Following the description, a random energy shift requires random relative motion between neighbouring atoms; this is indeed the case in the semiclassical limit, where the relative motion is isotropic.

It is important to note that in the present modelling, we neglected the elastic Rayleigh scattering, which occurs in addition to repeated absorption/emission events. In typical cases, such as the propagation of light through biological tissue or planetary atmosphere, [36, 37], elastic scattering constitutes the main contribution, thus influencing photon propagation. However, for photons near the atomic absorption line propagating in a particle medium with a large absorption cross section, the absorption phenomena is the major contribution to light propagation, arising from a large difference in the typical lifetime of the two processes.

In the exposition, the inter-atomic interactions are modelled by the four electronic energy states of the Rb₂ molecules, the two ground states, the singlet and triplet, $X \, {}^{1}\Sigma_{g}^{+}$ and $a \, {}^{3}\Sigma_{u}^{+}$ correspondingly, and the 0_{g}^{-} and 1_{g}^{-} excited states [9]. The two ground states differ at close- range distances, but for large distances (r > 100 Å), the singlet and triplet of the ground states coalesce, scaling as van der Waals interactions $\propto -1/r^{6}$. The excited state's long range potential scales as $\propto -1/r^{3}$, due to a degeneracy of the P state [35].

3. Modelling methods for the combined particle and light media

3.1. Probabilistic analysis over phase space

The stochastic cooling theory was modelled by a probabilistic simulation, where the physics is embedded in terms of the dynamics of the continuous probability distribution functions (PDFs) over the phase space. This is a suitable description for diffusional behaviour and dominant collective effects. Both the light and particles are confined in the trap, and are described by the position and momentum in it. It is important to note that for the particles the momentum is proportional to the velocity, while for the photons it is linearly dependent on the energy.

A full stochastic model involves a 12-dimensional probability function, including all the particle and photon degrees of freedom (DOF). Such a system is computationally very demanding. However, if an isotropic environment is assumed, all the axes are degenerate, and only four DOFs (position and momentum DOF for particles and light) are required. A 4D model is still computationally challenging with respect to the desired accuracy. A solution to this problem is achieved by comparing typical time scales characterizing both media. The particle diffusion and thermalization rate is much faster than the resonant photon diffusion rate. Such a separation of time scales effectively decouples the two ensembles in a short time regime. This assumption allows us to break down the general model to two separate phase space distributions, namely, to the particle and light media. This separation follows the mean field approximation.

3.1.1. The Fokker–Planck equation particle ensemble

3.1.1.1. Initialization. In the initial stage, the particles, described by the PDF, P, are confined in a trap with an

initial temperature T_{init} . *P* is propagated in time by the Smoluchowski equation [38–41] until a steady state is reached

$$\frac{\partial P(x_{par}, p_{par})}{\partial t} = -\frac{\partial}{\partial x} \int_{par} \left(\frac{p_{par}}{m} P \right) + \frac{\partial}{\partial p} \int_{par} \left((V'_{h.o}(x_{par}) + \mu_{par} p_{par}) P \right) + D_{par}(\mu_{par}, T_{init}) \frac{\partial^2 P}{\partial^2 p_{par}}$$
(1)

where x_{par} and p_{par} are the particle position and velocity, correspondingly; *m* is the particle mass; μ_{par} is the drag constant, calculated from the experimental relaxation time [42]. $D_{par}(\mu_{par}, T_{init})$ is the particle momentum diffusion function, dependent on the drag constant and temperature.

The first term on the rhs describes the coupling between the velocity and the location of the atoms. The collisions between the atoms transfer momentum between the two particles, creating an overall diffusion in momentum, which is described by the last term. Balancing the diffusion is the trap's potential, associated with the term $\frac{\partial}{\partial p_{par}}(V'_{h.o}(x_{par})P)$, which is a mixed term coupling the confining force and the momentum, and $\frac{\partial}{\partial p_{par}}(\mu_{par}v_{par}P)$ is the drag term originating from the particle collisions. An additional term can be added in extremely low temperatures, where the particle de Broglie wavelength is on the order of the mean inter-atomic distance and the scattering length of the particles should be considered. A large scattering length should lead to an additional spatial diffusion term. For the studied temperature regime this effect is negligible. For particles in a harmonic trap potential, the steady state distribution has been shown to be a Gaussian with a variance dependent on the ratio between the diffusion constant and the drag force, $D_{par} = \mu_{par} k_B T$, where k_B is the Boltzmann constant [38, 43].

3.1.1.2. Coupling of the light to the particle medium. The interacting particle light ensemble is modelled by the diffusion function, $D_{par \ coupled}$. The change in the diffusion variable arises from an average energy flow from the ensemble of the particles to the radiation field and momentum alterations by photon absorption/emission processes. The variable $D_{par \ coupled}$ is described in detail in section 3.2.

The radiation characteristics are described in detail in table A.3.

3.1.2. The Fokker–Planck equation for light. To describe the light medium, we construct a second 2D probability distribution function over the phase space. The function is propagated in time with an FP equation derived from the 'radiative transfer equation' (RTE) [36], similar to the photon diffusion equation [44, 45]; further details are given in the appendix. These equations usually describe light propagation in a scattering medium. However, in our study, we treat the

excitation cycles as scattering events characterized by long interaction times, resulting from the atomic decay time.

The dynamics of the light phase space are described by the following equation:

$$\frac{\partial \phi(x, p_l, t)}{\partial t} = \frac{\partial}{\partial x} \left(D_{x_l}(\rho_{par}(x), E_{photon}(p_l)) \frac{\partial}{\partial x} \phi(x_l, p_l, t) \right) \\
+ \frac{\partial}{\partial p_l} \left(D_{p_l}(\rho_{par}(x), E_{photon}, T_{par}) \frac{\partial}{\partial p_l} \phi(x, p_l, t) \right) \tag{2}$$

where x and p_l are the position and momentum of the photon ensemble, E_{photon} is the photon energy, and T_{par} and ρ_{par} are the instantaneous particle temperature and density, respectively.

The equation has two diffusion terms, in space and momentum, describing the diffusion in the particle medium and energy transfer. This is a similar equation to the general photon diffusion equation [44, 45], but lacks any source or sink term, due to the fact that for atoms there are no clear nonradiative processes. Loss mechanisms, such as photoassociation, are also negligible for this case.

3.1.2.1. Diffusion function explanation. $D_{x_l}(\rho_{par}(x), E_{photon})$ is the light position diffusion function; the propagation of the photons in the particle medium is described by a diffusional movement, caused by repeated absorption/emission cycles and the isotropic nature of spontaneous emission. The particle density sets the mean distance between consecutive absorptions; the energy of the photons compared to the transition line determines the probability of absorption, both affecting the diffusion rate directly.

 $D_{p_l}(\rho_{par}(x), E_{photon}, T_{par})$ is the light momentum diffusion function: the diffusion rate is determined by atom-atom interactions influenced by the atomic density and velocity at temperature T_{par} .

The physics and interaction between both media is embedded in the properties of these variables as a function of the different parameters. A complete analysis follows.

3.2. Derivation of the diffusion variables

The light-matter momentum diffusion function, D_{par coupled};

$$D_{par \ coupled} = m \cdot \mu(k_B T_{inter} + E_{recoil} \cdot R) \tag{3}$$

where $D_{par\ coupled}$ is determined by accounting for all the different effects influencing the energy or momentum of the particles, considering energy and momentum conservation. Each term represents a different mechanism for the energy transfer. The $\mu \cdot E_{recoil} \cdot R$ term arises from the condition of a pressure balance between the radiation pressure and the momentum of the particles, when the recoil temperature is achieved [26]. The light medium exerts a constant radiation pressure on the particles by continuous absorption. The effect is insignificant when the magnetic force of the trap is bigger than the radiation pressure force, but should be considered when the particles are cooled to a temperature where both forces are on the same scale. E_{recoil} is the recoil energy and *R* is a constant dependent on the ratio of photons to particles or the intensity of the light.

The energy conservation between the ensembles is described by the drag constant times the typical kinetic energy of a single particle, $\mu k_b T_{int}$. The total energy of both media is kept constant by adjusting the temperature variable, T_{inter} . The local energy transfer between the particles to the radiation field is calculated from the net energy change due to the interactions, as well as the total energy change arising from the photon flow in and out of the trap.

A further term $(-D_{int})$ can be added to the diffusion coefficient. The added term relates the instantaneous momentum transfer between the two media. However, the additional term is negligible in the long range due to the momentum transfer accounted for in the energy transfer term, $m \cdot \mu k_B T_{inter}$.

The present description does not account for quantum effects. At lower temperatures, the theory should be modified by adjusting the modelling parameters, taking into account the particle wave characteristics. This can be done by adding a position diffusional term describing the weak localization due to collision [46].

3.2.1. Spatial diffusion amplitude, $D_{x_l}(\rho_{par}(x), E_{photon}(p_l))$. The RTE derivation for photon propagation in a highly scattered medium predicts the value of $D_{x_l} = \frac{v}{3\mu'_s}$ where $\mu'_s = \mu_s(1 - g)$, and μ_s^{-1} is the mean distance between consecutive scattering events in the original derivation. In the case above, where scattering events are neglected, μ_s^{-1} is the mean distance between consecutive absorption events and g is the scattering anisotropy constant $\langle \cos(\theta) \rangle$, which vanishes for isotropic scattering [47]. Following the assumptions mentioned, 3.1.2, we derive a similar expression for D_{x_l}

$$D_{x_l} = \frac{l^2}{3\delta t}.$$
(4)

For the range of densities common for an MOT, the emission decay time, δt , is the relevant time scale between adjacent excitations, and *l* is the mean distance between consecutive absorptions. For near resonance light, δt is the lifetime of the excited state, independent of the detuning [31, 48, 49].

We assume a homogeneous medium for a small element in space. In such a medium, the probability distribution for a photon to cover a distance y without being absorbed by a particle is:

$$P(y) = \sigma_{abs} n e^{-\rho_{par}(x)\sigma_{abs}y}$$
(5)

where $\sigma_{abs}(\nu)$ is the absorption cross section, ν is the photon frequency and *x* is the position in the MOT. The mean free path is given by $l = \langle y \rangle = \int_0^\infty y P(y) dy = \frac{1}{\rho_{par} \sigma_{abs}}$ [50]. From equations (4) and (5) we obtain:

$$D_{x_l} = [3(\rho_{par}(x)\sigma_{abs}(\nu))^2 \delta t]^{-1}.$$
 (6)



Figure 4. The normalized absorption probability of ⁸⁷Rb₂ as a function of the shift from the atomic transition line, $G_{abs}(\rho_{par}, \nu)$. The details of the calculation can be found in appendix A.6. The function is used as an input for the momentum diffusion function, D_{p_l} , using the experimental cross section value to rescale the probability function.

3.2.2. Momentum diffusion function, $D_{\rho_i}(\rho_{par}(x), E_{photon}, T_{par})$. The diffusion coefficient of light can be decomposed into a product of two contributions: (1) The probability function of a photon being absorbed by a pair of interacting atoms, $G_{abs}(\rho_{par}, \nu)$; (2) the diffusion function describing the diffusion rate in momentum ($\propto E$), caused by the random energy shift of the absorbed photon, $\mathcal{D}(\rho_{par}, T_{par})$.

Assuming the absorption and energy transfer mechanisms are independent, the diffusion function can be written as:

$$D_{p_l}(\rho_{par}(x), E_{photon}, T_{par}) = G_{abs}(\rho_{par}, \nu) \cdot \mathcal{D}(\rho_{par}, T).$$
 (7)

3.2.2.1. Absorption probability function. Details of the derivation of the absorption probability function, $G_{abs}(\rho_{par}, \nu)$, are shown in the appendix. Here, we present an overall description and the results, figure 4.

The absorption probability is calculated, employing a quantum description of the absorption and emission process. For a low particle density, see table A.1, the analysis can be restricted to a two particle interaction. The calculation is then reduced to a three-body interaction, two neutral ⁸⁷Rb atoms and a light field characterizing a single photon. The absorption cross section is solved, assuming a weak field by the time-dependent perturbation theory. The free propagation is obtained by solving the time-dependent (TD) Schrödinger equation. The wave propagation is calculated using the Chebychev polynomial expansion method with a Fourier grid, together with a Gaussian random phase approach [51, 52]. Details of the propagation are described in appendix A.4.

3.2.2.2. Energy transfer between the atom and radiation field. There are a number of processes which cause a photonic energy shift: the natural line broadening due to spontaneous emission [53, 54], and Doppler phenomena [55]. However, in a high density the most dominant phenomena is pressure broadening and pressure shift [56, 57], which arise from atom-atom interactions. The process is stochastic and can be viewed as a 1D random walk on an energy axis. In such a case the momentum diffusion amplitude is the squared mean momentum related to the energy shift per unit time. The function can be calculated as $\mathcal{D}(\Delta p) \propto \frac{var(F(\Delta E))}{\delta t}$, where $var(F(\Delta E))$ is the variance of a specific probability function, $F(\Delta E)$. $F(\Delta E)$ describes the probability for a certain energy shift, between the emitted and absorbed photons. We show here the main point of the derivation of $F(\Delta E)$.

We begin with the change in energy due in a typical excitation:

$$\Delta E = -\frac{C_3}{r_f^3} + \frac{C_6}{r_f^6} + \frac{C_3}{r_i^3} - \frac{C_6}{r_i^6} = C_3 \left(\frac{1}{r_i^3} - \frac{1}{r_f^3}\right) + C_6 \left(\frac{1}{r_f^6} - \frac{1}{r_i^6}\right)$$
(8)

where r_i and r_f are the relative distance between a pair of functions at absorption and excitation times respectively, and C_3 , C_6 are the van der Waals potential constants.

Transforming to the centre of mass and relative velocity coordinates, the velocity distribution is a Maxwell–Boltz-mann distribution of particles with a reduced mass $\mu = m/2$ and kinetic energy of $E_k = \frac{p_r^2}{2m}$:

$$f(v) = \sqrt{\frac{\mu}{2\pi k_B T}} e^{-\frac{\mu v^2}{2k_B T}}.$$
(9)

The initial relative density determines the average distance, $r_i = (\rho(x))^{-1/3}$ (*x* is the position in the trap), and the final distance is written in terms of the decay time and the initial distance, $r_f = r_i + v \cdot \delta t$, where $r_i \gg v \cdot \delta t$ in the relevant density and temperature range.

By expanding up to the first term in $v \cdot \delta t$, we obtain a relation between the energy transferred and the particle's relative velocity. From this relation, the energy transfer distribution function is obtained by a random variable transformation for the Maxwell–Boltzmann distribution

$$\Delta E = 3(\rho(x))^{4/3}(C_3 - 2\rho(x)C_6) \cdot v \cdot \delta t = C(x)v$$

$$C(x) = 3(\rho(x))^{4/3}\delta t(C_3 - 2\rho(x)C_6).$$
(10)

The distribution function of the change in energy for a single excitation:

$$F(\Delta E) = N_{norm} e^{-\frac{\mu(\Delta E)^2}{2C^2 k_B T}}.$$



Figure 5. A schematic flow chart of the modelling method, as described in section 3.3.

Making an ansatz in equation (7), the radiation field momentum diffusion amplitude can be written as:

$$D_{p_{l}}(\rho_{par}(x), E_{photon}, T_{par}) = G_{abs}(\rho_{par}, \nu)$$
$$\cdot \frac{[3(\rho(x))^{4/3}(C_{3} - 2\rho(x)C_{6})]^{2}\delta t \cdot k_{B}T_{par}}{\mu_{par} \cdot c^{2}}$$
(11)

where c is the speed of light.

The photon diffusion function is highly dependent on the density of the particles and the spatial distribution of photons in the trap. The linear temperature dependence demonstrates the fact that when the particles cool it becomes harder to extract the entropy.

3.3. Final modelling summary

The Fokker-Plank equation is propagated by a Chebychev polynomial expansion method for the evolution operator $U(t + \Delta t) = e^{-\hat{G}\Delta t}\rho(t)$, where $\rho(t)$ is the modelled distribution function at time t and the propagator, $\hat{G} = \frac{\partial \rho(t)}{\partial t}$, is the corresponding Fokker–Planck operator [58, 59]. A Fourier method is used to calculate the derivative terms in the \hat{G} operation. This scheme is highly accurate and efficient. The two-phase space distribution of light and particle ensembles are propagated simultaneously for a small time lapse, transferring information about energy, momentum and density between the models, figure 5. Absorbing boundary conditions are applied to the light density function to account for the photons escaping the trap. In addition, new photons are added with a frequency distribution corresponding to the laser source. Such a scenario models a constant laser incident intensity. See table A.2 for further information.

4. Results A: probabilistic analysis of the stochastic cooling

Following the evolution of the initial Gaussian distribution of the particle after a transient time, its phase space distribution is compressed. This is a signature of cooling. Figure 6, (A, B plots) shows an increase of phase space density after 6 μ s. On the other hand, the light medium experiences a fast broadening of the momentum distribution (time scale of $0.1 \,\mu$ s). For a low momentum, the distribution reaches a threshold due to a rapid decrease of the absorption probability and the fast spatial diffusion of the low (red detuned) momentum photons escaping the trap, while high momentum photons are confined for longer periods of time in the particle medium. This occurs until photons reach off-resonant frequencies, leading to a fast diffusion for extremely high-frequency blue detuned photons. The phenomena can be seen in figure 6 D as off-resonance photons (large gaps between the resonant momentum, $8.334 901e^{-28\frac{\text{Kgm}}{\text{Kgm}}}$; bright horizontal strips in the figure) diffuse rapidly. At this stage of the process, the cooling continues and approaches a constant rate (see figure 7), resulting from continuous replacement of the diffused photons by laser light absorbed by the particle medium.

The cooling rate is linear for the initial coupling with light, but eventually saturates because the energy transfer depends on the particle velocity, $D_{p_l} \propto T_{par}$. The cooling rate slows down for low temperatures until reaching the quantum regime, where additional processes should be incorporated into the model. An example of such an effect is a further contribution to the spatial diffusion resulting from localization of the particle wave packet due to collision with a neighbouring particle.

4.1. Comparison of different trap potentials

The trap's potential shape determines the particle density, which in turn affects the probability of a photon being absorbed by the particle medium. To test the cooling sensitivity, a set of models was studied with different potentials: harmonic, linear and quartic potentials. A comparison between the different trap shapes was made while keeping the potential energy at the positions $x = \frac{L}{4}$, $x = \frac{3L}{4}$, almost identical. In addition, an equal amount of particles was used for both simulations. The results are presented in figure 7. The most efficient cooling rate is predicted by the harmonic trap, $1.45 \cdot 10^2 \text{K s}^{-1}$, which is almost 50% larger than the particle cooling in a linear trap, $1.02 \cdot 10^2 \text{K s}^{-1}$. The quartic ($\propto x^4$) potential shows a cooling rate of 37.1 K s^{-1} . Due to the red shift of absorption with density, the cooling is optimal when there is a significant gradient in the particle density such as in the harmonic trap.

4.2. Comparison of different densities

A direct connection between the average density in the trap and the cooling rate was found. At a low density, a linear increase in the cooling rate is observed, see figure 8. At higher densities the cooling rate reduces. This is in a density range



Figure 6. The particle phase space on the left (A, B) before coupling to the radiation field (A), and after at a time $t \approx 6*10^{-6}$ s at $T = 10^{-4}$ K (B). The right-hand side represents the light phase space before coupling (C) and at time t (D). The vertical axis of all the figures describes the momentum, and the relevant scaling of the units is presented on the left of the axis.



Figure 7. The particle temperature as a function of time, for different potentials. For a density of $\rho = 10^{14} \text{ cm}^{-3}$; the trap potential: $V_{harmonic} = \frac{1}{2}kx^2$; $V_{linear} = k|x|$; $V_{quadratic} = \frac{1}{2}kx^4$.

which is considerably lower in comparison with the quantum regime. In such a regime, the basic many-body cooling phenomena should still be valid but complimented by quantum corrections to the model.

An estimation of the maximum cooling rate is obtained by considering a sphere filled with a uniform gas of atoms with density ρ , and a typical spontaneous emission lifetime of τ . The bound for the cooling rate can be calculated by noticing that only the atoms at the outer boundary of the sphere effectively emit energy. The number of atoms per unit area occupying the outer shell is $N_{surf} \sim \rho^{2/3}$. On average, the excited atoms out of N_{surf} will emit a blue-shifted photon giving an energy difference of $\hbar\Delta\omega$, with a rate of $\dot{Q} = \frac{\hbar\Delta\omega}{\tau}$.



Figure 8. The cooling rate in absolute value as a function of the initial average particle density.

Furthermore, if 1% of the atoms on the surface are excited at a certain instant, the upper bound to the cooling rate can be estimated as:

$$R \sim 0.01 \cdot \dot{Q} = 0.01 \frac{\hbar \Delta \omega}{k_B \tau}.$$
 (12)

Inserting in equation (12) the data for ⁸⁷Rb: $\rho = 10^{13}$ cm⁻³, $\Delta \omega \leq 10^7$ rad/s, $\tau_d = 27.7$ ns gives $R \sim 10^6$ K s⁻¹, where the $\Delta \omega$ was estimated from figure 8 considering the stochastic nature of the process. Note that this is an upper bound, not taking into account the stochastic nature of the cooling and possible heating sources. Compared to the current modelling, a smaller cooling rate on the order of $R \sim 10^3$ K s⁻¹ is predicted.

The geometrical arguments can explain the dependence of the cooling rate on the density. At low density, the whole



Figure 9. The excited state, $|e_1\rangle$, and the next energy state, $|e_2\rangle$, are coupled by a tuning laser of frequency ω_1 generating a Stark shift, which is intensity dependent. The Stark shift is enhanced at resonance conditions occurring at specific inter-atomic distances. As a result, the potential $|e_1\rangle$ is modified and with it the resonance conditions of the cooling laser $\omega_0 = \frac{E_e - E_g}{\hbar}$. By varying the intensity of the tuning laser in the trap we obtain a gradient in the absorption probability of the cooling laser.

volume emits, therefore a linear scaling is expected, as seen in figure 8. For high density, the asymptotic cooling rate should scale as of $\rho^{2/3}$. For the data of the asymptotic cooling rate (figure 8), we obtain the scaling of $R \sim \rho^{0.67}$, in accordance with the geometrical analysis.

5. Enhanced stochastic cooling—an extension of the stochastic cooling method

The stochastic cooling of ⁸⁷Rb atoms, described in section 2, utilizes the energy gap dependence on the inter-atomic distance. To generalize this mechanism, we propose a method applicable to different types of constituents. The main idea incorporates the additional control of the energy gap between the ground and the first excited potential energy surface.

The stochastic cooling method (sections 2 and 3), requires the spatial dependence on the energy gap between the ground and excited states. ⁸⁷Rb is a unique case, and the ground and excited energy states scale differently with the relative distance between the atoms, see figure 3, resulting in an energy gap with a sufficient spatial gradient. In the general case, both energy states scale similarly, and the spatial gradient may not be sufficient to achieve efficient cooling.

Enhancement of the spatial gradient between the energy states can be induced by employing a second CW field with a frequency, ω_1 , in resonance with the transition line between the excited state, E_e , to a higher excited state, denoted by E_f (see figure 9). It is crucial that the frequency ω_1 should be different from the atomic transition, not affecting the excitation process from the ground state.

Derivation: the atomic energy gap between subsequent levels roughly scales as $\propto \left(\frac{1}{n^2} - \frac{1}{(n+1)^2}\right)$, demonstrating that the first energy gap is much bigger than the other gaps. The big difference between the energy gaps allows the explicit treatment of a two-level system coupled to an oscillating

classical field [53]. The solution is given in terms of the Rabi frequency, Ω , which is linearly dependent on the vector electric field amplitude of the laser, $\vec{E_L}$.

We will focus on two energy levels of the excited state, $|e_1\rangle$ and the level of a higher excited state, $|e_2\rangle$. The classical radiation field induces an energy shift to the bare Hamiltonian levels, and the new shifted states are given by

$$E_{\pm} = \pm \frac{\hbar \sqrt{|\Omega|^2 + \Delta^2}}{2} \tag{13}$$

where $\Delta = \omega_L - (\omega_f - \omega_h)$ can be neglected for a resonant radiation, $\omega_1 \equiv \omega_f - \omega_e \gg \Delta$

$$E_{\pm} = \pm \frac{\hbar\Omega}{2}.$$
 (14)

For a classical radiation field, of frequency ω_1 with a spatial dependence, the intensity varies in the trap. For example, a high-intensity light focused at the centre of the trap will have a gradient toward lower intensities at the edge of it. The intensity gradient results in a spatial dependent Rabi frequency, $\Omega(x)$, where x is the trap's radial coordinate. Concentrating on a pair of atoms in the trap, the Rabi frequency can be written as a function of the inter-atomic distance, r. This leads to an excited state E_e which varies spatially as well, while the ground state stays unperturbed by the classical EM field. This phenomena induces a spatial energy-dependent gap between the ground and excited states, with a gradient depending on the EM intensity.

Once an energy gap is controlled by a tuning laser of frequency ω_1 , a second laser of frequency $\omega_0 = \omega_e - \omega_g$ is applied to the particle medium. As in the Stochastic cooling method, the laser of frequency ω_0 induces excitations between the ground and excited states. The relative random motion of the atoms in the excited state will induce average energy transfer and cooling. This process is controlled by the electric field amplitude $\vec{E}_{\mathcal{L}}$ with a frequency ω_1 .

The energy level configuration and the addition of a second tuning laser is similar to the scenario utilized for electromagnetic induced transparency (EIT). In EIT, combined AC Stark splitting and quantum interference results in a transparency at a frequency of the probe (cooling) laser. Similar applications have been achieved for Rb and Pb. Such phenomena, under favourable circumstances, will allow easy penetration of the photons to a partly opaque particle medium [60, 61].

The control of the energy gap gradient enables the enhanced stochastic cooling to operate at lower densities relative to the densities required for the stochastic cooling of rubidium. For the stochastic cooling of ⁸⁷Rb, high densities are required because of the small dependence of the energy gap on the inter-atomic distance. Once the gradient is engineered with an external field, the energy gap can be shifted to longer inter-atomic distances. For this method, the required density is bounded only by densities where the photons are characterized by diffusional motion.

We present in table A.4 the sufficient densities when applying enhanced stochastic cooling for different alkali atoms.

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Constituent	Required density [cm ⁻³
Rubidium	$1.47 \cdot 10^{6}$
Caesium	$1.04 \cdot 10^{6}$

5.1. Optimization of the tuning radiation field

In the following section we discuss how the optimization of tuning laser intensity and frequency affects the cooling.

5.1.1. Intensity variations of the tuning radiation field. The question arises of what the optimal field profile is. The laser frequency is determined by the gap $\omega_1 = \omega_f - \omega_e$, but different intensity profiles can be realized. Modern-day optics allows the creation of many intensity profiles, utilizing optical holographic lenses and state-of-the-art optical devices. An optimization including all possible scenarios can be complicated. However, we notice that by a similar derivation to that in section 3.2.2 the diffusion constant Dp_l is proportionate to the square of the tuning laser's electric field gradient. Proportionality suggests that a greatly varying field in the trap region will result in increased cooling of the particle media, see figure 11.

5.1.2. Frequency variation of the classical radiation field. The frequency of the second laser source can be tuned to induce cooling for a specific atomic density. In the last section, we consider a classical radiation field, applied to the trap, with the resonance frequency ω_1 matching the asymptotic transition $|e_1\rangle \rightarrow |e_2\rangle$. However, the energy gap in resonance to the transition changes along the inter-atomic distance, r. Modern experimental techniques allow accurate control of the laser frequency and spatial intensity. This enables the control of the exact region of the inter-atomic distances, which are coupled to the cooling field. A laser with a frequency of $\omega_1(r_i)$ will couple between the ground and excited states in a region near r_i , inducing a gradient in the energy gap between the states. The gradient will induce cooling, originating from a pair of atoms with a certain interatomic distance. Alternately, when averaging over the interatomic distances, the laser frequency, $\omega_1(r_i)$, will match the atomic medium of a density $\rho(r_i, x)$, (where x is the radial component of the trap).

6. Results B: enhanced stochastic cooling

Enhanced stochastic cooling was modelled on ⁸⁷Rb atoms, in a similar method as that described in section 3. A second tuning laser in conjunction with the cooling laser is employed with a wavelength of 1 475.6 nm (${}^{2}P_{1/2} \rightarrow {}^{2}D_{3/2}$). Once the light and particle media are coupled, the two-phase spaces are propagated in time, while synchronizing the parameters after each time step. The energy transfer is assumed to originate solely from the coupling of the external field. The



Figure 10. The profiles of the tuning light intensity: black dashed $E_1 = 2 \cdot 10^{14} x^2/2$, red dash-dot $E_2 = 5 \cdot 10^{12} x^4$, solid blue $E_3 = 4.96$, $10^{13} \sin(200x)/200$ (MKS). The field profiles are adjusted so that the maximum intensity in the trap is equal.



Figure 11. Particle temperature as a function of time for different external field profiles corresponding to figure 10.

diffusion functions are recalculated based on the derivation presented in section 5.

A number of different intensity profiles were studied, $E_1 \propto x^2$, $E_2 \propto x^4$, and also a highly oscillating profile E_2 , see figures 10, 11. The sinusoidal profile shows the fastest cooling rate, $6.85 \cdot 10^2 \text{K s}^{-1}$ as a result of the large gradient. The other profiles E_2 and E_1 have an inferior cooling rate of $1.33 \cdot 10^2 \text{K s}^{-1}$ and 40 K s^{-1} correspondingly.

7. Discussion

The cooling of neutral atoms via collective many-body interactions is an efficient universal cooling scheme, applicable as a complementary method to prior cooling methods [62, 63], or independently.

At sufficient particle density and large absorption cross sections, photons are trapped in the particle media. In such density regimes, photon propagation in the trap is characterized by diffusion. A single photon exhibits a large number of excitation cycles, allowing energy and entropy transfer between particles and photons. The mechanism proposed depends on the collective behaviour of the particles and light media, giving rise to coordinated dynamics. The cooling rate depends on the particle density, on the density gradient and the asymmetry in the spectrum between absorption and emission. On average, an absorbed red photon will be emitted as a blue photon. The density of similar values used in the demonstration has been achieved experimentally [32, 64, 65], $(10^{13} - 10^{15} \text{ cm}^{-3})$. A simple experimental setup for stochastic laser cooling requires the ability to change the trap potential and with it the density. This will also allow the validity of the theory to be checked.

The main thermodynamic principle unifying all cooling methods is an increase of the total entropy of the joint particle and light ensembles. The energy transfer from the particle medium to the light medium decreases the entropy of the particle ensemble. This comes at the expense of light entropy, where the constant radiation loss from the trap is the entropygenerating mechanism.

Our modelling for Rubidium demonstrates that cooling can be achieved by the 'stochastic cooling method'. A single 'cooling laser', coupling the ground and the lowest excited state $(|g\rangle = X^{1}\Sigma_{g}^{+}, a^{3}\Sigma_{u}^{+}; |e\rangle = 0_{g}^{-}, 1_{g}^{-})$ is sufficient. Modelling predicts efficient cooling for a density range of $(1 - 7) \cdot 10^{14} \text{ cm}^{-3}$, and cooling rates ranging between $100-800 \text{ K s}^{-1}$. Furthermore, the model predicts that the asymptotic cooling rate for a fixed trap volume will scale with the density as $\rho^{2/3}$ and be linear at low density. This is in accordance with a simple geometrical model.

This scheme can be extended to cool other constituents by adding a second 'tuning laser' coupling the first state and a higher excited state. The generalized cooling method, 'enhanced stochastic cooling', allows the universal extension of cooling for different types of neutral atoms as well as molecules. The experimental requirement is an additional CW laser. The rate of cooling can be controlled by determining the values of the gradient of the intensity of the tuning laser, $\left| \frac{\partial E_{tune}}{\partial x} \right|$, [66]. The generalized scheme predicts efficient cooling rates that can be maximized by choosing an intensity profile with a large spatial gradient in the trap, see figure 11.

The phenomena, enabling the cooling of rubidium (stochastic cooling), is related to the pressure line shift and pressure broadening, which arises at sufficiently high densities [67]. The pressure shift and inter-atomic interactions allow energy transfer between particle translational degrees of freedom to internal degrees of freedom and to the photonic medium. The magnitude of the pressure shift influences the cooling rate directly. Similar effects, arising for increased densities, can be seen in other condensed matter phenomena, such as the charge transfer to a solvent and modifications to the absorption/emission spectra for the liquid phase relative to the gas phase spectrum. For cooling, higher particle densities increase the pressure shift and, in turn, the gradient of the energy gap between the ground and excited states. As a result of the stochastic nature of the process, a larger gradient leads to faster cooling.

The mechanisms described, responsible for energy transfer from the particle to the light medium, are valid for the semiclassical regime. At low temperatures, the present theory should be modified by quantum theory. The crossover temperature is when the de Broglie thermal wavelength is in the range of the mean particle distance $\left(T > \frac{h^2 \rho^{2/3}}{2\pi m k_B}\right)$. For ⁸⁷Rb, this temperature is ~10⁻⁶ K for a density of $\rho = 10^{14} \,\mathrm{cm}^{-3}$. At low temperatures the asymmetry between red and blue shift emission is larger. The reason for this is that the ground state density is peaked at the attractive region of the van der Waals potential, and the emission is biased toward the outer turning point of the vibration of the excited potential, which is larger. Near the BEC limit, additional corrections can be made based on the particle wave characteristics. These are out of the scope of this paper. The enhanced stochastic cooling method is applicable to low densities and lower temperature regimes. The details are presented in table A.4.

The manipulation of cold molecules and cooling molecules to extremely low temperatures has been one of the main focal points of the atomic molecular optical research field [68–74]. The method proposed is applicable to molecular cooling experiments. For sufficient densities, light can be trapped for long time periods in the molecular medium, and an energy transfer is predicted.

In contrast to the general simplicity of laser cooling atoms, the higher number of degrees of freedom in the molecules induces a complex internal energy structure. These features complicate the cooling process due to additional relaxation channels, which leads to induced heating. For efficient cooling, the molecules need to have large diagonal Franck–Condon factors. This will allow repeated electronic excitations while minimizing the excitation of the vibrational states. Low inelastic rates are favourable and result in heating and a fast molecular loss rate. In addition, the energy transitions should match the available laser cooling frequencies. A number of different constituents qualify for efficient stochastic cooling or enhanced stochastic cooling, OH, CaF and YO.

CaF has been cooled to velocities of $\approx 10 \pm 4 \text{ m s}^{-1}$, which is below the capture velocity of a molecular MOT [75], and has suitable electronic transitions. The cooling laser can be applied, detuned slightly below the $X^2\Sigma_{1/2}^+$, v = 0, N = -1, $A^2\Pi_{1/2}$, v = 0, J = +3/2 transition of 606 nm, while the coupling laser couples between the $A^2\Pi_{1/2}$, v = 0, J = +3/2 and $C^2\Pi_{1/2}$, v = 0, J = -1/2 states of 729.5 nm. Additional lasers and a magnetic field may be required in order to bring back dark magnetic sub-states to the optical cycle and reduce population loss to excited vibrational states. Such a scheme is envisaged to induce enhanced stochastic cooling. A spatial gradient in the energy gap between the ground state and excited state allows efficient cooling. The gradient is created by the polarizability difference between the two states. This means that a dense ensemble of CaF trapped in an MOT could be cooled further, towards sub-millikelvin temperatures.

Other molecular candidates are the OH radical and YO, which have been confined in a trap [69, 76, 77] and studied in context with optical cooling [70, 78, 79]. They have a suitable internal energy structure with convenient optical transitions in visible light. Both show similarities to CaF, allowing the application of stochastic cooling methods. Similarly to the case of CaF, additional pumping lasers and a magnetic field may be needed to ensure a closed cooling cycle. These additional lasers prevent population trapping in dark states by re-pumping back to the cooling cycle.

Almost all molecules are more polarizable in the excited state. As a result, a gradient in the energy gap will arise and the stochastic cooling method is therefore applicable, since it is based on van der Waals forces. Molecules with higher polarizability can be cooled more efficiently. The molecular density should be in the range where two-body elastic collisions are dominant over three-body inelastic ones. To conclude, 'enhanced stochastic cooling' can greatly increase the class of atoms and molecules that can be cooled to sub-Kelvin temperatures.

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Appendix

A.1. Rubidium data table

D1 transition $(5^2 S_{1/2} \rightarrow 5^2 P_{1/2})$ [9]		
Wavelength (vacuum)	794.979 nm	
Lifetime	27.7 ns	
Recoil energy	22.823 6kHz	
Effective far-detuned saturation intensity	4.484 mW cm^{-2}	
Effective far-detuned resonant cross	$1.082 \cdot 10^{-9} \mathrm{cm}^2$	
section		

A.2. Model parameters

Density range	$10^{13} - 10^{14} \mathrm{cm}^{-3}$
Trap length	1 mm
Cooling laser frequency	$3.771 1 \cdot 10^{14} \mathrm{s}^{-1}$
Particle photon ratio in the trap	1
Initial temperature	0.01 K

A.3. Pulse parameters, see section 3.2.2

Variance $10^{-16} s^2$ Amplitude Normalized so the cross section will fit the experimental value

A.4. Numerical methods

The dynamical equations to be solved for the particles and light equations (1) and (2) have the structure:

$$\frac{\partial}{\partial t}F(x, p, t) = \mathbf{O}F(x, p, t)$$
(15)

where F(x, p, t) is the probability function in phase space and **O** is a differential operator defined in equations (1) and (2). We can write a formal solution for a short time step Δt :

$$F(x, p, t + \Delta t) \approx e^{\mathbf{O}\Delta t}F(x, p, t).$$
 (16)

The exponent in equation (16) is expanded by a Chebychev polynomial of order N [58]:

$$e^{\mathbf{O}\Delta t} \approx \sum_{k=0}^{N} C_k(\Delta t) T_k(\mathbf{O})$$
 (17)

where C_k are expansion coefficients (Bessel functions) and $T_k(x)$ is the Chebychev polynomial of order k.

The following table presents the details of the Fourier -Chebychev numerical scheme for solving coupled Fokker -Planck equations:

Grid size	10^{-3} m
Number of spatial grid points in position	250
Number of spatial grid points in momentum	500
Grid spacing	$\Delta r = 4 \cdot 10^{-6} \text{ m}$
Grid spacing	$\Delta p = 4.8491 \cdot 10^{-27} \mathrm{kg} \mathrm{m} \mathrm{s}^{-1}$
Order of Chebychev polynomial (light)	45
Grid size	$2 \cdot 10^{-3} \text{ m}$
Grid points of light medium position	500
Grid points of light medium momentum	100
Grid spacing (light)	$\Delta r = 4 \cdot 10^{-6} \text{ m}$
Grid spacing (light)	$\Delta p = 4.8395 \cdot 10^{-36} \mathrm{kg} \mathrm{m} \mathrm{s}^{-1}$
Typical time step (light)	10^{-9} s
Number of time steps	6000
Order of Chebychev polynomial (light)	271

A.5. Estimate the number of excitations for a single photon

The photon propagation through the atomic medium can be modelled as a 3D random walk, resulting from repeated absorption/emission cycles. The square of the distance that a photon reaches after N steps, or variance is:

$$var_{3d} = N\varepsilon^2 \tag{18}$$

where N is the number of absorption/emission cycles and ε is the length of each step between consecutive absorption events. Assuming a spherical trap with a uniform density:

$$\varepsilon = P_{abs}^{-1} \cdot \rho^{-\frac{1}{3}} \tag{19}$$

where $P_{abs} = \rho^{\frac{2}{3}}\sigma$ is the absorption probability. (For $\rho^{\frac{2}{3}}\sigma \leq 1$ the equality holds.)

To escape the trap, the photon has to reach a distance of R (trap radius) from the centre of the trap

$$N = \frac{R^2}{(P_{abs}^{-1} \cdot \rho^{-\frac{1}{3}})^2} = R^2 \rho^{-2} \sigma^{-2}$$
(20)

$$\rho(N) = (NR^{-2}\sigma^2)^{-\frac{1}{2}}.$$
(21)

A.6. Absorption probability function

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We solve the transition probability between the ground and excited state of a quantum system of two ⁸⁷Rb atoms and a light field characterizing a single photon.

The original Hamiltonian, with no coupling to a radiation field is:

$$\hat{H}_{g/e} = \hat{T} + \hat{V}_{g/e} = \frac{\hat{P}}{2m} + V_{g/e}(\mathbf{r}).$$
 (22)

In the presence of an electromagnetic field, the two surfaces of the ground and excited states are coupled by the interaction of the field and the dipole momentum operator. The new Hamiltonian is written as:

$$\begin{aligned} \hat{H} &= \hat{H}_g \otimes \hat{P}_- + \hat{H}_e \otimes \hat{P}_+ + \varepsilon(t)\hat{\mu} \otimes \hat{S}_+ + \varepsilon(t)\hat{\mu} \otimes \hat{S}_- \\ &= \begin{bmatrix} \hat{H}_e & \varepsilon(t)\hat{\mu} \\ \varepsilon^*(t)\hat{\mu} & \hat{H}_g \end{bmatrix}. \end{aligned}$$
(23)

The electromagnetic field is given by:

$$\varepsilon(t) = \bar{\varepsilon}(t)e^{-i\omega_L t} + \bar{\varepsilon} * (t)e^{i\omega_L t}$$
(24)

where ω_L is the laser carrier frequency and $\bar{\varepsilon}(t)$ is the envelope of the pulse. After the rotating wave approximation, the Hamiltonian reduces to:

$$\hat{H}_{S} = \begin{bmatrix} \hat{H}_{e} - \hbar\omega_{L}/2 & \bar{\varepsilon}(t)\hat{\mu} \\ \bar{\varepsilon}(t)\hat{\mu} & \hat{H}_{g} + \hbar\omega_{L}/2 \end{bmatrix}.$$
(25)

The amplitude of absorption of a photon is calculated considering a system following the dynamics governed by \hat{H}_S . For the basis states ({ ψ_k }) of \hat{H}_S , the amplitude transfer from the eigenstate | ψ_i > to eigenstate | ψ_n >, after time *t*, is given by the time-dependent perturbation theory. Assuming a weak field, the amplitude, to a good approximation, is given by the first order term:

$$b_n^{(1)}(t) = -\frac{i}{\hbar} \int_0^t e^{i\omega_{ni}t} \hat{W}_{ni}(t') dt$$
 (26)

where $\hat{W}_{ni}(t)$ is the time perturbation term $\omega_{ni} = \frac{E_n - E_i}{\hbar}$. Defining

$$c_n(t) = b_n(t)e^{-iE_nt/\hbar}.$$
(27)

With the help of equation (26)

$$c_n(t) = -\frac{i}{\hbar} \int_0^t d\tau e^{-iE_n(t-\tau)/\hbar} \hat{W}_{ni}(t') e^{-iE_i\tau/\hbar}.$$
 (28)

For a perturbation $\hat{W}_{ni}(t') = \bar{\varepsilon}(t)\hat{\mu}$, and the following identity $e^{-iE_n(t-\tau)/\hbar} |\psi_n\rangle = e^{-i\hat{H}_n(t-\tau)/\hbar} |\psi_n\rangle$

$$c_n(t) = -\frac{i}{\hbar} \int_0^t d\tau e^{-iE_n(t-\tau)/\hbar} \bar{\varepsilon}(t) \hat{\mu}(t') e^{-iE_i\tau/\hbar}.$$
 (29)

Similarly, for an excited state, using equation (25)

$$\begin{split} |\psi_{e}(t)\rangle &= -\frac{i}{\hbar}e^{-\frac{i}{\hbar}(\hat{H}_{e}-\hbar\omega_{L}/2)t}\int_{0}^{t}d\tau e^{\frac{i}{\hbar}\hat{H}_{e}\tau} \\ &\times e^{-i\hbar\omega_{L}\tau}\hat{\mu}\bar{\varepsilon}(\tau)e^{-\frac{i}{\hbar}\hat{H}_{g}\tau}|\psi_{g}(0)\rangle. \end{split}$$
(30)

Assuming a narrow Gaussian pulse, which is centred at t = 0 far away from the source, the integral boundaries can be taken to infinity

$$\bar{\varepsilon}(\tau) = \frac{B}{\sqrt{2\pi\sigma_t^2}} e^{-\frac{\tau^2}{2\sigma_t^2}}$$
(31)

where *B* is an amplitude constant. Using the identity [80] (section 3)

$$\sigma_{A}(\omega_{L}) \propto \langle \psi_{i} | \hat{A} | \psi_{i} \rangle \to \hat{A} = \hat{\mu} \int_{-\infty}^{\infty} d\tau e^{\frac{i}{\hbar} (\hat{H}_{e} - \hat{H}_{g} - \hbar \omega_{L}) \tau} \hat{\mu}.$$
(32)

Assuming the system is in the ground state at the initial time, the propagator is given by

$$\hat{A} = \hat{\mu} \int_{-\infty}^{\infty} d\tau \bar{\varepsilon}(\tau) e^{\frac{i}{\hbar} (\hat{H}_e - \hbar\omega_L)\tau} e^{-\frac{i}{\hbar} E_g \tau} \hat{\mu}.$$
(33)

When the transition dipole moment is constant in position and momentum, the solution of the integral gives

$$\hat{A} = \hat{\mu}^2 B e^{-\frac{\sigma_t^2 \Delta^2}{2}} \tag{34}$$

where $\Delta = \frac{1}{\hbar}\hat{H}_e - \omega_g - \omega_L$, defining $\alpha = \frac{\sigma_t^2}{2}$ as well as emitting the global phase from the expression, and defining $c = (\omega_g + \omega_L)$ and decomposing the Hamiltonian to the kinetic and potential terms, $H_e = \hat{T} + \hat{V}_e$. The expression for the propagator is given by:

$$\sigma_A \propto \langle \psi_g | e^{-\frac{\sigma_I^2}{2}(\hat{G} + \hat{F} + \hat{K})} | \psi_g \rangle$$
(35)

where:

$$\hat{G} = \hat{T}^2 - 2\hbar c \hat{T} \tag{36}$$

$$\hat{F} = \hat{V_e}^2 - 2\hbar c \hat{V_e} \tag{37}$$

$$\hat{K} = \hat{T}\hat{V}_e + \hat{V}_e\hat{T}.$$
(38)

Using the Zassenhaus formula to expand the exponent, we find that the high order commutators can be neglected [81].

Taking the first term in the expansion, the cross section can be summarized by the expression:

$$\sigma_A \propto \langle \psi_g | e^{-\frac{\sigma_t^2}{2}\hat{G}} e^{-\frac{\sigma_t^2}{2}\hat{K}} e^{-\frac{\sigma_t^2}{2}\hat{F}} | \psi_g \rangle \tag{39}$$

where \hat{G} and \hat{F} are the kinetic and potential energy terms correspondingly, and \hat{K} is a correlation term.

The solution is a Gaussian function with a variance of 2.89 $(nHz)^2$, see table A.3, centred around $(V_e - V_g)/\hbar$; for large *r* the contribution of the van der Waals interactions to the probability of being absorbed is negligible. However, for a short range the interaction will shift the resonance frequency towards lower frequencies in comparison with the atomic transition line, influencing the optimized detuning from resonance, $\Delta \omega_{optimise}$, used for optimal cooling.

A.6.1. Direct cross section calculation.

We can decompose the initial thermal state to random phase Gaussian wave functions, when assuming the contribution of the kinetic term only. The approximation is valid for the density and temperature regime in our experiment, see table A.1, where the ground state potential has a minor effect on the wave function of the ground state

$$e^{-\frac{\hat{H}}{k_BT}} \approx e^{-\frac{p^2}{2mk_BT}}.$$
(40)

Each thermal Gaussian wave function, in the momentum representation, has a temperature-dependent standard deviation $\sigma = \sqrt{mk_BT}$, and an added random phase $G(p) = e^{-\frac{p^2}{2mk_BT} + ipR_0}$.

In the position representation this amounts to an ensemble of Gaussians centred at different locations $\{R_0\}$. In the final stage of the calculation, all Gaussians are summed and averaged, and the random phases cancel one another constructing an asymptotic thermal state propagated in time.

The overall effect of the described calculation is equivalent to the following process: each Gaussian, centred at a different location, is coupled to an electric field at time τ , the EM field couples the ground and excited states resulting in a population transfer to the excited state. The excited state is then propagated until time *t* to achieve a single realization. The overall excited state is then achieved by integrating on all possible transition times, τ . The calculation converges to the first order time perturbation term assuming a weak pulse.

This process is repeated for different laser frequency shifts, $\Delta \omega$, and an absorption probability distribution function dependent on the laser frequency shift is achieved.

A.7. Relation between the cross section and the matrix element

Deriving the proportionality $\sigma_A(\omega_L) \propto \langle \psi_i | \hat{A} | \psi_i \rangle$, the power can be written as

$$P = \frac{dE}{dt} = \left\langle \frac{dH}{dt} \right\rangle. \tag{41}$$

Making an ansatz of equation (23)

$$P = \left\langle \frac{d\varepsilon(t)}{dt} \hat{\mu} \otimes \hat{S}_{+} + \frac{d\varepsilon^{*}(t)}{dt} \hat{\mu} \otimes \hat{S}_{-} \right\rangle$$
$$= \left\langle \frac{d\varepsilon(t)}{dt} \hat{\mu} \otimes |\psi_{e}\rangle \langle \psi_{g}| + \frac{d\varepsilon^{*}(t)}{dt} \hat{\mu} \otimes |\psi_{g}\rangle \langle \psi_{e}| \right\rangle.$$
(42)

Inserting the density matrix expression, $\rho = \frac{1}{2} (|\psi_g\rangle \langle \psi_g| + |\psi_e\rangle \langle \psi_e|)$, the state is written as:

$$\begin{aligned} \frac{d\varepsilon(t)}{dt} \langle \psi_e | \ \hat{\mu} | \psi_g \rangle &+ \frac{d\varepsilon^*(t)}{dt} \langle \psi_g | \ \hat{\mu} \otimes | \psi_e \rangle \\ &= -2 \operatorname{Real} \left(\frac{d\varepsilon(t)}{dt} \langle \psi_e | \ \hat{\mu} | \psi_g \rangle \right) = -2 \operatorname{Real} \left(\frac{d\varepsilon(t)}{dt} \langle \hat{\mu} \otimes \hat{S}_+ \rangle \right). \end{aligned}$$

The power at time t

$$P(t) = -2\operatorname{Real}\left(\frac{d\varepsilon(t)}{dt} \langle \psi_e(t) | \ \hat{\mu} | \psi_g(t) \rangle\right)$$
$$\propto \langle \psi_g(t) | \ \hat{\mu} \int_{-\infty}^{\infty} d\tau e^{\frac{i}{\hbar}(\hat{H}_e - \hat{H}_g - \hbar\omega_L)\tau} \hat{\mu} | \psi_g(t) \rangle.$$
(43)

The power is proportionate to the population change which has a linear dependency on the cross section

$$P = \hbar \omega_0 \frac{dN_e}{dt} \propto \sigma(\omega_L).$$
(44)

Combining equations (43) and (44) we get the desired relation:

$$\sigma_{A}(\omega_{L}) \propto \langle \psi_{g}(t) | \hat{\mu} \int_{-\infty}^{\infty} d\tau e^{\frac{i}{\hbar}(\hat{H}_{e} - \hat{H}_{g} - \hbar\omega_{L})\tau} \hat{\mu} | \psi_{g}(t) \rangle$$

$$= \langle \psi_{g}(t) | \hat{A} | \psi_{g}(t) \rangle.$$
(45)

A.8. Energy transfer between the atom and radiation field and calculation of $D(\rho_{par}, T_{par})$

The energy change due to a typical excitation is:

$$\Delta E = -\frac{C_3}{r_f^3} + \frac{C_6}{r_f^6} + \frac{C_3}{r_i^3} - \frac{C_6}{r_i^6}$$
$$= C_3 \left(\frac{1}{r_i^3} - \frac{1}{r_f^3}\right) + C_6 \left(\frac{1}{r_f^6} - \frac{1}{r_i^6}\right)$$
(46)

where r_i is the inter-atomic distance for time t when the photon is absorbed, and r_f is the relative distance at time $t + \delta t$, when the photon is emitted, and where δt is the typical decay time for the rubidium 87 D1 transition.

Transforming to the centre of mass and the relative velocity coordinates, the velocity distribution is a Maxwell–Boltzmann distribution of particles with a reduced mass $\mu = m/2$, a kinetic energy of $E_k = \frac{\langle \vec{p}_r^2 \rangle}{2\mu}$, momentum $\vec{p}_r = \mu \cdot \vec{v}$, and relative velocity \vec{v} . The velocity distribution for the relative particle,

$$f(v) = \sqrt{\frac{\mu}{2\pi k_B T}} e^{-\frac{\mu v^2}{2k_B T}}.$$
 (47)

The initial relative distance is assumed to be the mean distance for a density $\rho(x)$, where x is the spatial position in the trap

$$r_i = (\rho(x))^{-1/3}.$$
(48)

The final relative atomic distance, r_f , can be written in terms of the relative velocity v; $r_f = r_i + v \cdot \delta t$. Making an ansatz of equation (48)

$$\Delta E = C_3 \left(\rho(x) - \frac{1}{(r_i + \nu \cdot \delta t)^3} \right) + C_6 \left(\frac{1}{(r_i + \nu \cdot \delta t)^6} - \rho^2(x) \right).$$
(49)

Since $r_i \gg v \cdot \delta t$ (in the density range discussed) we can expand in a Taylor series up to the first term

$$\frac{1}{(r_i + v \cdot \delta t)^n} \approx \frac{1}{r_i^n} \cdot \left(1 - n \frac{v \cdot \delta t}{r_i}\right).$$
(50)

The energy gap is reduced to

$$\Delta E = 3(\rho(x))^{4/3} v \cdot \delta t (C_3 - 2\rho(x)C_6) = C \cdot v$$
 (51)

$$C = 3(\rho(x))^{4/3} \delta t (C_3 - 2\rho(x)C_6).$$
(52)

In the first order approximation, the energy change and the relative velocity are linearly dependent. The distribution function in velocity translates to an energy distribution function, for $E = \hbar(\omega_f - \omega_i)$

$$f(E = \hbar\omega_f) = N_{norm} e^{-\frac{\mu\hbar^2(\omega_f - \omega_i)^2}{2C^2k_BT}}$$
(53)

$$N_{norm} = \sqrt{\frac{1}{\pi} \frac{\mu}{2C^2 k_B T}}.$$
(54)

The variance of the function $f(\hbar\omega_f)$ can be used to calculate the light phase space diffusion variable of the energy transfer, $\mathcal{D}_E(\rho_{par}, T_{par}) = \frac{var(f(E))}{\delta t}$, arising from the interaction of the particle and photons, including only photons which are absorbed

$$Var(f(E)) = \frac{C^2 k_B T}{\mu}$$
(55)

$$C = 3(\rho(x))^{4/3} \delta t (C_3 - 2\rho(x)C_6)$$
(56)

$$\mathcal{D}_E(\rho, T) = \frac{[3(\rho(x))^{4/3}(C_3 - 2\rho(x)C_6)]^2 \delta t \cdot k_B T}{\mu}.$$
 (57)

For the diffusion in momentum using the photon energy relation, $E = p \cdot c$, the diffusion variable in momentum is given by $\mathcal{D} = \frac{1}{c^2} \mathcal{D}_E$.

A.9. Random phase approach for calculating the absorption probability function

We use the random phase approach as an efficient scheme for propagating a thermal state $\hat{\rho}$. A thermal state is an incoherent state which undergoes coherent time evolution. In this case a direct approach is a full solution of the Liouville von Neumann equation in the Schrödinger picture,

$$i\hbar\frac{\partial\rho}{\partial t} = [H, \rho].$$
 (58)

For a time evolution operator $\hat{U}(t) = e^{-\frac{i}{\hbar}\hat{H}t}$, the dynamics can be captured by the equation $\rho(t) = \hat{U}(t, 0)\rho(0)\hat{U}^{\dagger}(t, 0)$. When a wide range of energy states are populated, the direct solution of the initial state can be difficult and time consuming. An alternative approach decomposes the initial thermal state to random phase Gaussian wave functions. The time evolution can be calculated on each realization and averaged to assemble the thermal state at time *t*. A detailed description follows. For a high number of realizations the random phases cancel each other leaving no effect on the the desired calculation. This is the underlying principle of the method. For a general random phase $e^{i\theta_{cx}}$ where $N \gg 1$ we can write the Cronicer delta function as:

$$\frac{1}{N}\sum_{k=1}^{N}e^{i(\theta_{\alpha}^{k}-\theta_{\beta}^{k})}=\delta_{\alpha\beta}$$
(59)

where *k* labels a set random angle, each angle given for each basis state, α and β . If $\alpha = \beta$, $k_{\alpha} = k_{\beta}$ for all *k*, we get unity; for any other case the equality converges to zero as $\frac{1}{\sqrt{N}}$. This characteristic allows the operator to be composed with an arbitrary complete orthonormal basis $\{|\alpha\rangle\}$ and the random phases $\{e^{i\theta_{\alpha}^{k}}\}$. We define a thermal random wave function $|\psi_{\alpha}^{k}\rangle = e^{i\theta_{\alpha}^{k}}|\alpha\rangle$ and an accumulated wave function $|\Psi^{k}\rangle = \sum_{\alpha} |\psi_{\alpha}^{k}\rangle = \sum_{\alpha} e^{i\theta_{\alpha}^{k}}|\alpha\rangle$

$$\hat{1} = \frac{1}{N} \sum_{k=1}^{N} |\Psi^{k}\rangle \langle \Psi^{k}| = \sum_{\alpha,\beta} |\alpha\rangle \langle \beta| \frac{1}{N} \sum_{k=1}^{N} e^{i(\theta_{\alpha}^{k} - \theta_{\beta}^{k})}$$
$$= \frac{1}{N} \sum_{k=1}^{N} \sum_{\alpha,\beta} |\psi_{\alpha}^{k}\rangle \langle \psi_{\beta}^{k}|.$$
(60)

Therefore the thermal state at time t = 0 is

 $\hat{\rho}$

$$\begin{split} = \hat{\rho} \cdot \hat{1} &= \frac{1}{Z} e^{-\frac{\hat{H}\beta}{2}} e^{-\frac{\hat{H}\beta}{2}} \sum_{\alpha,\beta} |\alpha\rangle \langle\beta| \frac{1}{N} \sum_{k=1}^{N} e^{i(\theta_{\alpha} - \theta_{\beta})} \\ &= \frac{1}{Z} \frac{1}{N} \sum_{k=1}^{N} \sum_{\alpha,\beta} e^{i\theta_{\alpha}^{k}} e^{-\frac{E_{\alpha}\beta}{2}} |\alpha\rangle \langle\beta| e^{-\frac{E_{\beta}\beta}{2}} e^{-i\theta_{\beta}^{k}} \\ &= \frac{1}{Z} \frac{1}{N} \sum_{k=1}^{N} |\varphi^{k}\rangle \langle\varphi^{k}| \end{split}$$

while the thermal random wave functions are $|\varphi^k\rangle = \sum_{\alpha} e^{-\frac{E_{\alpha\beta}}{2} + i\theta_{\alpha}^k} |\alpha\rangle$, and the temperature dependence is given by $\beta = \frac{1}{k_{\alpha}T}$.

The thermally averaged time-dependent states, $\hat{\rho}(t)$, can be calculated by the same process, decomposed to timedependent thermal random wave functions $|\varphi^k(t)\rangle$. We obtain the thermal state $\hat{\rho}(t)$ by propagating the *N* accumulated thermal random function, $|\varphi^k(t)\rangle = \hat{U}(t, 0)|\varphi^k\rangle$, and taking an average defined by equation (A.9). Taking a closer look at a single thermal random wave function $|\varphi^k_{\alpha}\rangle = e^{-\frac{E_{\alpha}\beta}{2} + i\theta^k_{\alpha}}|\alpha\rangle$, $\{|\alpha\rangle\}$ is chosen to be the momentum state basis. For small potential energy the state can be written as

$$e^{-\frac{\hat{H}\beta}{2}+i\theta_{p}^{k}}|p\rangle \approx e^{-\frac{p^{2}\beta}{2m}+i\theta_{p}^{k}}|p\rangle = e^{-\frac{p^{2}}{2mk_{B}T}+ipR_{0}}|p\rangle \qquad (61)$$

having defined $\theta_p^k = pR_0$ in the second equalization.

The thermal random state, for the range of high kinetic energy or weak interactions, is a thermal Gaussian with a variance mk_BT and an additional random phase. In the position representation, the wave function has the form of a Gaussian displaced by R_0 , $|\varphi_r^k\rangle = e^{-\frac{1}{2}mk_BT(r-R_0)^2}$. In the position representation, the random phase approach leads to a decomposition of the initial thermal state to many thermal Gaussian wave functions centred randomly in space. The validity of such an approximation for a two-body interaction only holds for large *r* where the potential is weak.

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